Sector # 3

BOX MISSING PARTS # 3

Attorney Docket No. 23754X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit: 1614

Inventor: Yong-Qian WU

Wei-HUANG

Gregory S. HAMILTON

Serial No. 09/835,523 Filed: April 17, 2001

Title: AZA COMPOUNDS, PHARMACEUTICAL COMPOSITIONS AND METHODS OF

USE

TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Copy of Notice to File Missing Parts of Nonprovisional Application
 - (3) Response to Notice to File Missing Parts of Nonprovisional Application
 - (4) Two(2) Executed Declarations / Powers of Attorney
 - (5) Check No. 15271 \$ 130.00 Statutory Surcharge for the late filing of the Declaration / Power of Attorney

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

NATH & ASSOCIATES PLLC

Ву:

Sarv M. Nath

Registration No. 26,965

Joshua B. Goldberg

Reg. No. 44,126

Customer No. 20529

Date: August ϕ , 2001 NATH & ASSOCIATES

1030 15th Street N.W., 6th Floor

Washington, D.C. 20005

(202) 775-8383 GMN/sjb:NFMP.TRANS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit: 1614

Inventor: Yong-Qian WU

Wei-HUANG

Gregory S. HAMILTON

Serial No. 09/835,523 Filed: April 17, 2001

Title: AZA COMPOUNDS, PHARMACEUTICAL COMPOSITIONS AND METHODS OF

USE

SUBMISSION OF MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application of June 13, 2001, submitted herewith are the following:

(1) Two(2) Executed Declarations / Powers of Attorney

(2) Check No. 1527 \$ 130.00 Statutory Surcharge for the late filing of the Declaration / Power of Attorney

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

NATH & ASSOCIATES PLLC

By:

Gary M. Nath

Registration No. 26,965

Joshua B. Goldberg Reg. No. 44,126

Customer No. 20529





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

NITED STATES PATENT AND TRADEMARK OFFICE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/835,523

04/17/2001

Yong-Qian Wu

23754-X

20529 **NATH & ASSOCIATES** 1030 15th STREET **6TH FLOOR**

WASHINGTON, DC 20005

CONFIRMATION NO. 5679 FORMALITIES LETTER OC000000006176050*

Date Mailed: 06/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/08/2001 MBERHE 00000073 09835523

01 FC:105

130.00 OP



